



In accordance with Rule 408(b)-2 of the Employee Retirement Income Security Act of 1974, the following is a guide to important information that ERISA Plans should consider in connection with the services provided by Roosevelt Investments. Should you have any questions concerning this guide or the information provided to you concerning our services or compensation, please do not hesitate to contact Roosevelt Investments' compliance department at 646-452-6700.

<b>Required Information</b>	<b>Location(s)</b>
<b>Description of the services that RIG will provide your plan.</b>	Investment Management Agreement Form ADV Part 2A, Item 4 "Advisory Business"
<b>A statement concerning the services that RIG will provide as a registered investment adviser an ERISA Fiduciary.</b>	Investment Management Agreement
<b>Compensation RIG will receive from your Plan ("direct compensation").</b>	Not applicable.
<b>Compensation RIG will receive from other parties that are not related to RIG ("indirect compensation").</b>	Investment Management Agreement Fee Schedule
<b>Compensation that will be paid among RIG and related parties.</b>	Form ADV Part 2A, Item 12 "Brokerage Practices", subsection "Research and Other Soft Dollar Benefits".
<b>Compensation RIG will receive if you terminate this service agreement.</b>	Not applicable.
<b>The cost to your Plan of recordkeeping services.</b>	Not applicable.
<b>Fees and Expenses relating to your Plan's investment options.</b>	(1) The Roosevelt Multi-Cap Fund Transaction Fees: Prospectus, Summary Section, page 1 Total Annual Operating Expenses: Prospectus, Summary Section, page 1  (2) The Roosevelt Strategic Income Fund Transaction Fees: Prospectus, Summary Section, page 1 Total Annual Operating Expenses: Prospectus, Summary Section, page 1